

# Metric Spaces

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# Table of Contents

- 1 Introduction
- 2 Metric spaces and their properties
- 3 Metrizability
- 4 Convergence and Completeness

# Def. Continuity on $\mathbb{R}$

## Definition

A function  $f : \mathbb{R} \rightarrow \mathbb{R}$  is *continuous at*  $a \in \mathbb{R}$  if

$$\forall \varepsilon > 0 \exists \delta > 0 \text{ s.t. } |f(x) - f(a)| < \varepsilon \text{ whenever } |x - a| < \delta.$$

## Geometric intuition

If  $x$  is close to  $a$ , then  $f(x)$  is close to  $f(a)$ .

# Continuity of $f : \mathbb{R}^2 \rightarrow \mathbb{R}$

## Definition

Let  $f : \mathbb{R}^2 \rightarrow \mathbb{R}$  and let  $(a, b) \in \mathbb{R}^2$ . We say that  $f$  is *continuous at*  $(a, b)$  if

$$\forall \varepsilon > 0 \exists \delta > 0 \text{ s.t. } |f(x, y) - f(a, b)| < \varepsilon$$

whenever  $\sqrt{(x - a)^2 + (y - b)^2} < \delta$ .

## Euclidean distance in $\mathbb{R}^2$

$$d_2((x, y), (a, b)) = \sqrt{(x - a)^2 + (y - b)^2}.$$

## Def. Continuity of $f : \mathbb{R}^n \rightarrow \mathbb{R}$

### Definition

Let  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  and let  $a = (a_1, \dots, a_n) \in \mathbb{R}^n$ . We say that  $f$  is *continuous at  $a$*  if

$$\forall \varepsilon > 0 \exists \delta > 0 \text{ s.t. } |f(x) - f(a)| < \varepsilon \text{ whenever } \|x - a\|_2 < \delta,$$

where for  $x = (x_1, \dots, x_n)$ ,

$$\|x - a\|_2 = \left( \sum_{i=1}^n (x_i - a_i)^2 \right)^{1/2}$$

### Idea

Control the output error  $|f(x) - f(a)|$  by making  $x$  close to  $a$  in Euclidean distance.

# Def. Metric and Metric Space

## Definition

Let  $X \neq \emptyset$ . A function  $d : X \times X \rightarrow \mathbb{R}$  is a *metric* if for all  $x, y, z \in X$ :

(M1)  $d(x, y) \geq 0$ , and  $d(x, y) = 0 \iff x = y$ .

(M2)  $d(x, y) = d(y, x)$ .

(M3)  $d(x, z) \leq d(x, y) + d(y, z)$ .

A *metric space* is a pair  $(X, d)$ .

## Interpretation

(M1) no negative distances; (M2) symmetry; (M3) triangle inequality.

## Def. Continuity between Metric Spaces

### Definition

Let  $(X, d_X)$  and  $(Y, d_Y)$  be metric spaces and  $f : X \rightarrow Y$ . We say  $f$  is *continuous at*  $x_0 \in X$  if

$$\forall \varepsilon > 0 \exists \delta > 0 \text{ s.t. } d_Y(f(x), f(x_0)) < \varepsilon \text{ whenever } d_X(x, x_0) < \delta.$$

$f$  is *continuous* if it is continuous at every  $x_0 \in X$ .

### Idea

Small changes in input (in  $d_X$ ) force small changes in output (in  $d_Y$ ).

## Example: The Euclidean Metric on $\mathbb{R}^n$

### Example

For  $x = (x_1, \dots, x_n)$  and  $y = (y_1, \dots, y_n)$  in  $\mathbb{R}^n$ , define

$$d_2(x, y) = \left( \sum_{i=1}^n (x_i - y_i)^2 \right)^{1/2}.$$

Then  $d_2$  is a metric on  $\mathbb{R}^n$ .

### Proof.

- **(M1)**  $d_2(x, y) \geq 0$  and  $d_2(x, y) = 0$  forces each  $x_i = y_i$ , hence  $x = y$ .
- **(M2)** Symmetry:  $(x_i - y_i)^2 = (y_i - x_i)^2$ .
- **(M3)** Triangle inequality follows from Cauchy–Schwarz:  
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## Example: The Euclidean Metric on $\mathbb{R}^n$

continue Proof: (M3).

**To be shown:** for all  $x, y, z \in \mathbb{R}^n$ :

$$\left( \sum_{i=1}^n (x_i - z_i)^2 \right)^{1/2} \leq \left( \sum_{i=1}^n (x_i - y_i)^2 \right)^{1/2} + \left( \sum_{i=1}^n (y_i - z_i)^2 \right)^{1/2}$$

$$\Leftrightarrow \sum_{i=1}^n (x_i - z_i)^2 \leq \left( \left( \sum_{i=1}^n (x_i - y_i)^2 \right)^{1/2} + \left( \sum_{i=1}^n (y_i - z_i)^2 \right)^{1/2} \right)^2$$

$$= \sum_{i=1}^n (x_i - y_i)^2 + \sum_{i=1}^n (y_i - z_i)^2 + 2 \left( \sum_{i=1}^n (x_i - y_i)^2 \right)^{1/2} \left( \sum_{i=1}^n (y_i - z_i)^2 \right)^{1/2}$$

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## Example: The Euclidean Metric on $\mathbb{R}^n$

continue Proof: (M3).

Now use  $x_i - z_i = (x_i - y_i) + (y_i - z_i)$  and expand:

$$\begin{aligned}\sum_{i=1}^n (x_i - z_i)^2 &= \sum_{i=1}^n ((x_i - y_i) + (y_i - z_i))^2 \\ &= \sum_{i=1}^n (x_i - y_i)^2 + \sum_{i=1}^n (y_i - z_i)^2 + 2 \sum_{i=1}^n (x_i - y_i)(y_i - z_i)\end{aligned}$$

So it remains to show

$$\sum_{i=1}^n (x_i - y_i)(y_i - z_i) \leq \left( \sum_{i=1}^n (x_i - y_i)^2 \right)^{1/2} \left( \sum_{i=1}^n (y_i - z_i)^2 \right)^{1/2},$$

which holds by the Cauchy–Schwarz–ineq (square both sides!).  $\square$

# Def. Open Balls

## Definition

In a metric space  $(X, d)$ , the *open ball* of radius  $r > 0$  centered at  $x_0 \in X$  is

$$B_r(x_0) = \{x \in X : d(x, x_0) < r\}.$$

## Example

(a) In  $(\mathbb{R}, |\cdot|)$ :

$$B_r(x_0) = (x_0 - r, x_0 + r).$$

(b) In  $(\mathbb{R}^2, d_2)$ :  $B_r(x_0)$  is an open disc of radius  $r$ .

(c) In  $(\mathbb{R}^3, d_2)$ :  $B_r(x_0)$  is an open ball of radius  $r$ .

# Continuity via Open Balls

## Proposition

*Let  $f : (X, d_X) \rightarrow (Y, d_Y)$  and fix  $x_0 \in X$ . Then  $f$  is continuous at  $x_0$  iff*

$$\forall \varepsilon > 0 \exists \delta > 0 \text{ s.t. } f(B_\delta^{d_X}(x_0)) \subseteq B_\varepsilon^{d_Y}(f(x_0)).$$

Same content as  $\varepsilon$ - $\delta$

“Points  $\delta$ -close to  $x_0$  map to points  $\varepsilon$ -close to  $f(x_0)$ .”

# Def. Open Sets in a Metric Space

## Definition

Let  $(X, d)$  be a metric space and  $U \subseteq X$ . We call  $U$  *open* (or *d-open*) if

$$\forall x \in U \exists \varepsilon > 0 \text{ s.t. } B_\varepsilon^d(x) \subseteq U.$$

## Example

Any open interval  $(a, b)$  in  $\mathbb{R}$  is open in  $\mathbb{R}$ ,  
but not  $[a, b]$ ,  $[a, b)$ ,  $(a, b]$

# Continuity and Preimages of Open Sets

## Proposition

*Let  $f : (X, d_X) \rightarrow (Y, d_Y)$ . Then  $f$  is continuous iff for every open set  $V \subseteq Y$ , the preimage*

$$f^{-1}(V) = \{x \in X : f(x) \in V\}$$

*is open in  $X$ .*

# Table of Contents

- 1 Introduction
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- 3 Metrizability**
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# Metric to Topological

- Any metric space induces a topological space.

## Proposition

*Given a metric space  $(X, d)$ , we have  $(X, \tau_d)$  is a topological space, where for any  $U \subseteq X$*

$$U \in \tau_d \quad \text{iff} \quad U \text{ is } d\text{-open in } X.$$

## Recall: definition of $d$ -open

For every  $x \in U$ , there exists  $\epsilon > 0$  such that  $B_\epsilon^d(x) \subseteq U$ .



Is  $(X, \tau_d)$  actually a topological space? Let's check!



Closure under arbitrary union:

Let  $(U_i)_{i \in I} \subseteq \tau_d$ , and  $x \in \bigcup_{i \in I} U_i$  arbitrary. Then  $x \in U_j$  for some  $j \in I$ . Since  $U_j$  is open by assumption, there exists  $\epsilon > 0$  s.t.  $B_\epsilon(x) \subseteq U_j$ . This implies  $B_\epsilon(x) \subseteq \bigcup_{i \in I} U_i$ . Hence,  $\bigcup_{i \in I} U_i \in \tau_d$  as desired.

# Metrizability

## Definition (Metrizable spaces)

A topological space arising from a metric space in this way is called *metrizable*.

## Example

- The discrete topology is metrizable.
- The Euclidean topology is metrizable

# What Makes a Space Metrizable?

How can we tell that a space is metrizable?

## Proposition

*Any metrizable space is Hausdorff.*

## Proof.

Let  $(X, d)$  be a metric space, and consider  $\tau_d$ . If  $x, y \in X$  with  $x \neq y$ , then  $d(x, y) > 0$ . Consider  $\epsilon := \frac{d(x, y)}{2}$ . Then, the open balls  $B_\epsilon(x), B_\epsilon(y)$  are disjoint open sets containing  $x$  and  $y$  respectively. □

# Metrization Theorems

But what about the other direction?

## Theorem (Urysohn's metrization theorem)

*Every topological space that is normal and second-countable is metrizable.*

# Normal and Second-countable

## Recall

$X$  normal if whenever  $E, F$  disjoint closed subsets of  $X$ , then there exist open sets  $U, V$  with  $E \subseteq U$  and  $F \subseteq V$  such that  $U \cap V = \emptyset$ .

## Definition (Second-countable)

A topological space is second-countable if it has a countable base.

# Proof Sketch Urysohn's Metrization Theorem

## Lemma (Urysohn's lemma)

*A topological space  $(X, \tau)$  is normal if, and only if, for every pair of nonempty, closed, disjoint subsets  $E, F \subseteq X$ , there is a continuous function  $f : X \rightarrow [0, 1]$  such that  $f(x) = 0$  for all  $x \in E$ , and  $f(x) = 1$  for all  $x \in F$ .*

# Proof sketch Urysohn's Metrization Theorem

Idea:

- Apply Urysohn's lemma countably many times.
- We get a *countable* family of continuous functions  $\{f_n : X \rightarrow [0, 1]\}_{n \in \mathbb{N}}$ .
- For any  $x \in X$  and neighbourhood  $U$  of  $x$ , some  $f_m$  is strictly positive at  $x$ , and 0 outside  $U$  ← **second-countability**.
- Define  $F : X \rightarrow \mathbb{R}^{\mathbb{N}}$ ,  $x \mapsto (f_1(x), f_2(x), \dots)$ . Can be checked that this is a homeomorphism into its image  $F(X)$ .
- $\mathbb{R}^{\mathbb{N}}$  with the product topology is metrizable.

## Example: Non-metrizable Spaces

- $\{0, 1\}$  with indiscrete topology is not Hausdorff.
- Sorgenfrey line is not second-countable.



# Table of Contents

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# Sequence & Convergence

## Definition (Sequence)

Given a metric space  $(X, d)$ , a sequence on this space is a map  $s : \mathbb{N} \rightarrow X$  commonly denoted as  $(x_n)$ , having  $x_n = s(n)$ .

## Definition (Convergence)

A sequence  $(x_n)$  converges to a point  $x$ , if for any  $\epsilon > 0$ , there is some  $N \in \mathbb{N}$  such that  $d(x_n, x) < \epsilon$  for any  $n \geq N$ .

## Sequence & Convergence (Cont.)

### Example

- The sequence  $(x_n)$  defined as  $x_n = \frac{1}{n}$ ,  $n \in \mathbb{N}$  converges to the limit point 0.
- Consider some non-empty metric space containing at least 2 points  $x$  and  $y$ . The sequence  $x_n = \begin{cases} x, & \text{if } n \text{ is even,} \\ y, & \text{else.} \end{cases}$  does not converge.
- Note: A converging sequence converges to a unique point.

# Cauchy Sequences

## Definition (Cauchy Sequence)

A sequence defined on a metric space  $(X, d)$  is said to be Cauchy, if for any  $\epsilon > 0$  there is some  $N \in \mathbb{N}$  such that for all  $n, m > N$  we have  $d(x_n, x_m) < \epsilon$ .

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## Proposition

*All convergent sequences are Cauchy.*

# Metric Definitions Using Convergence

Suppose  $(X, d)$  is a metric space.

## Definition (Limit point)

A point  $x$  in a space  $X$  is called a limit point of a subset  $A \subseteq X$ , if given any  $\epsilon > 0$  we have  $B_\epsilon \setminus \{x\} \cap A \neq \emptyset$ . In other words, there is some close neighbor other than  $x$  itself that intersects  $A$ .

# Metric Definitions Using Convergence

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## Proposition (Limit point (alt. def.))

*A point  $x$  in a space  $X$  is deemed a limit point of a subset  $A \subseteq X$ , if there is a sequence  $(x_n)$  having  $\{x_n\} \subseteq A \setminus \{x\}$  which converges to  $x$ .*

Limit points formalize the notion of a point being infinitely close to a set without actually being a part of it.

# Metric Definitions Using Convergence (Cont.)

## Definition (Closed set)

A set  $A$  is closed if for any  $x \in X$  and  $\epsilon > 0$  we have  $B_\epsilon(x) \cap A \neq \emptyset$ , then  $x \in A$ .



# Metric Definitions Using Convergence (Cont.)

## Definition (Closed set)

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## Proposition (Closed set (alt. def.))

*A set  $A$  is closed if for any  $(x_n) \subseteq A$  converging to  $x$ , we have  $x \in A$ .*

I.e., a set is closed iff it contains all of its limit points.

## Metric Definitions Using Convergence (Cont.)

Assume we have two metric spaces  $(X, d_X)$  and  $(Y, d_Y)$ , and a map  $f : X \rightarrow Y$ . The statements below are equivalent.

### Proposition

- *The function  $f$  is continuous.*
- *For every  $x \in X$ , whenever  $B_\epsilon(f(x)) \subseteq Y$ , we have some  $f(B_\delta(x)) \subseteq B_\epsilon(f(x))$ .*
- *If a sequence  $(x_n) \subseteq X$  converges to  $x$ ; then the sequence  $(f(x_n))$  converges to  $f(x)$ .*
- *for every  $S \subseteq X$ ,  $f(\overline{S}) \subseteq \overline{f(S)}$ .*

Informally, in context of metric spaces, continuity means respecting distance, which can alternatively be put as respecting the correspondence between limit points.

# Completeness

You might have encountered the fact that the real line has *no gaps*. What does this precisely mean?

## Definition (Completeness)

Let  $(X, d)$  be a metric space. The set  $X$  is said to be complete with respect to the metric  $d$ , if every Cauchy sequence in  $X$ , converges to a point in  $X$ .

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## Example

- The set  $(0, \infty)$  equipped with  $d(x, y) = |x - y|$  is not complete. Why?

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## Example

- The set  $(0, \infty)$  equipped with  $d(x, y) = |x - y|$  is not complete. Why?  
The aforementioned sequence  $(x_n) = (1/n)$ .
- The same set equipped with the discrete metric is complete.

## Completeness (Cont.)

Completeness portrays a notion of gapless-ness as it contains all the points that are infinitely close to it—analogous to how a closed set (or the closure of a set) contains all of its limit points.

# Completeness in Topology

- We have now seen that fundamental notions of metric spaces can be abstracted to topology.



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- How about completeness? The answer is **no**.
- Take the space  $\mathbb{R}$  with the euclidean topology, and its induced subspace  $(0, 1)$ . We know from the lectures that  $\mathbb{R} \cong (0, 1)$ .

# Completeness in Topology

- We have now seen that fundamental notions of metric spaces can be abstracted to topology.
- How about completeness? The answer is **no**.
- Take the space  $\mathbb{R}$  with the euclidean topology, and its induced subspace  $(0, 1)$ . We know from the lectures that  $\mathbb{R} \cong (0, 1)$ .
- But one is complete and the other isn't.

# References



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Thank you for your time!